# *K*-Triviality of Closed Sets and Continuous Functions

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#### Abstract

We investigate the notion of K-triviality for closed sets and continuous functions in  $2^{\mathbb{N}}$ . For every K-trivial degreee **d**, there exists a closed set of degree **d** and a continuous function of degree **d**. Every K-trivial closed set contains a K-trivial real. There exists a K-trivial  $\Pi_1^0$  class with no computable elements. A closed set is K-trivial if and only if it is the set of zeroes of some K-trivial continuous function. We give a density result for the Medvedev degrees of K-trivial  $\Pi_1^0$  sets. If  $W \leq_T A'$ , then W can compute a path through every A'-decidable random closed set if and only if  $W \equiv_T A'$ .

# 1 Introduction

The study of algorithmic randomness has been an active area of research in recent years. The basic problem is to quantify the randomness of a single real

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#### 1 INTRODUCTION

number. Here we think of a real  $r \in [0, 1]$  as an infinite sequence of 0's and 1's, i.e as an element in  $2^{\mathbb{N}}$ . There are three basic approaches to algorithmic randomness: the measure theoretic, the compressibility and the betting approaches. All three approaches have been shown to yield the same notion of (algorithmic) randomness. Here we will only use notions from the compressibility approach, incorporating a number of non-trivial results in this area. For background and history of algorithmic randomness we refer to [12, 11, 15].

Prefix-free (Chaitin) complexity for reals is defined as follows. Let M be a prefix-free function with domain  $\subset \{0, 1\}^*$ . For any finite string  $\tau$ , let  $K_M(\tau) = min\{|\sigma| : M(\sigma) = \tau\}$ . There is a *universal* prefix-free function U such that, for any prefix-free M, there is a constant c such that for all  $\tau$ 

$$K_U(\tau) \le K_M(\tau) + c.$$

We let  $K(\sigma) = K_U(\sigma)$ . Then x is said to be random if there is a constant c such that  $K(x \lceil n) \ge n - c$  for all n. This means a real x is random exactly when its initial segments are not compressible.

In a series of recent papers [1, 5, 2, 4], P. Brodhead, S. Dashti and the authors have defined the notion of (algorithmic) randomness for closed sets and continuous functions on  $2^{\mathbb{N}}$ . Some definitions are needed. For a finite string  $\sigma \in \{0,1\}^n$ , let  $|\sigma| = n$ . For two strings  $\sigma, \tau$ , say that  $\tau$  extends  $\sigma$  and write  $\sigma \prec \tau$  if  $|\sigma| \leq |\tau|$  and  $\sigma(i) = \tau(i)$  for  $i < |\sigma|$ . For  $x \in 2^{\mathbb{N}}, \sigma \prec x$  means that  $\sigma(i) = x(i)$  for  $i < |\sigma|$ . Let  $\sigma \neg \tau$  denote the concatenation of  $\sigma$  and  $\tau$  and let  $\sigma \cap i$  denote  $\sigma \cap (i)$  for i = 0, 1. Let  $x \mid n = (x(0), \dots, x(n-1))$ . Two reals x and y may be coded together into  $z = x \oplus y$ , where z(2n) = x(n) and z(2n+1) = y(n)for all n. For a finite string  $\sigma$ , let  $I(\sigma)$  denote  $\{x \in 2^{\mathbb{N}} : \sigma \prec x\}$ . We shall call  $I(\sigma)$  the *interval* determined by  $\sigma$ . Each such interval is a clopen set and the clopen sets are just finite unions of intervals. Now a nonempty closed set P may be identified with a tree  $T_P \subseteq \{0,1\}^*$  where  $T_P = \{\sigma : P \cap I(\sigma) \neq \emptyset\}$ . Note that  $T_P$  has no dead ends. That is, if  $\sigma \in T_P$ , then either  $\sigma \cap 0 \in T_P$  or  $\sigma \cap 1 \in T_P$ . For an arbitrary tree  $T \subseteq \{0,1\}^*$ , let [T] denote the set of infinite paths through T. It is well-known that  $P \subseteq 2^{\mathbb{N}}$  is a closed set if and only if P = [T] for some tree T. P is a  $\Pi_1^0$  class, or an effectively closed set, if P = [T]for some computable tree T. P is a strong  $\Pi_2^0$  class, or a  $\Pi_2^0$  closed set, if P = [T]for some  $\Delta_2^0$  tree. The complement of a  $\Pi_1^0$  class is sometimes called a c.e. open set. We remark that if P is a  $\Pi_1^0$  class, then  $T_P$  is a  $\Pi_1^0$  set, but it is not, in general, computable. a natural effective enumeration  $P_0, P_1, \ldots$  of the  $\Pi_1^0$  For a detailed development of  $\Pi_1^0$  classes, see [6].

We define a measure  $\mu^*$  on the space C of closed subsets of  $2^{\mathbb{N}}$  as follows. Given a closed set  $Q \subseteq 2^{\mathbb{N}}$ , let  $T = T_Q$  be the tree without dead ends such that Q = [T]. Let  $\sigma_0, \sigma_1, \ldots$  enumerate the elements of T in order, first by length and then lexicographically. We then define the code  $x = x_Q = x_T$  by recursion such that for each n, x(n) = 2 if both  $\sigma_n \cap 0$  and  $\sigma_n \cap 1$  are in T, x(n) = 1 if  $\sigma_n \cap 0 \notin T$  and  $\sigma_n \cap 1 \in T$ , and x(n) = 0 if  $\sigma_n \cap 0 \in T$  and  $\sigma_n \cap 1 \notin T$ . We then define  $\mu^*$  by setting

$$\mu^*(\mathcal{X}) = \mu(\{x_Q : Q \in \mathcal{X}\}) \tag{1}$$

for any  $\mathcal{X} \subseteq \mathcal{C}$  and  $\mu$  is the standard measure on  $\{0, 1, 2\}^{\mathbb{N}}$ . Informally this means that given  $\sigma \in T_Q$ , there is probability  $\frac{1}{3}$  that both  $\sigma \frown 0 \in T_Q$  and  $\sigma \frown 1 \in T_Q$  and, for i = 0, 1, there is probability  $\frac{1}{3}$  that only  $\sigma \frown i \in T_Q$ . In particular, this means that  $Q \cap I(\sigma) \neq \emptyset$  implies that for  $i = 0, 1, Q \cap I(\sigma \frown i) \neq \emptyset$ with probability  $\frac{2}{3}$ . Brodhead, Cenzer, and Dashti [5] defined a a closed set  $Q \subseteq 2^{2^{\mathbb{N}}}$  to be (Martin-Löf) random if  $x_Q$  is (Martin-Löf) random. Note that the equal probability of  $\frac{1}{3}$  for the three cases of branching allows the application of Schnorr's theorem that Martin-Löf randomness is equivalent to prefix-free Kolmogorov randomness. Then in [5, 2], the following results are proved. Every random closed set is perfect and contains no computable elements (in fact, it contains no *n*-c.e. elements). Every random closed set has measure 0 and has box dimension  $\log_2 \frac{4}{3}$ .

A continuous function  $F : 2^{\mathbb{N}} \to 2^{\mathbb{N}}$  may be represented by a function  $f : \{0,1\}^* \to \{0,1\}^*$  such that the following hold, for all  $\sigma \in \{0,1\}^*$ .

- $|f(\sigma)| \le |\sigma|$ .
- $\sigma_1 \prec \sigma_2$  implies  $f(\sigma_1) \prec f(\sigma_2)$ .
- For every *n*, there exists *m* such that for all  $\sigma \in \{0, 1\}^m$ ,  $|f(\sigma)| \ge n$ .
- For all  $x \in 2^{\mathbb{N}}$ ,  $F(x) = \bigcup_n f(x \lceil n)$ .

We define the space  $\mathcal{F}$  of representing functions  $f : \{0,1\}^* \to \{0,1\}^*$  to be those which satisfy clauses (1) and (2) above. There is a one-to-one correspondence between  $\mathcal{F}$  and  $\{0,1,2\}^{\mathbb{N}}$  defined as follows. Enumerate  $\{0,1\}^*$  in order, first by length and then lexicographically, as  $\sigma_0, \sigma_1, \ldots$  Thus  $\sigma_0 = \emptyset, \sigma_1 = (0), \sigma_2 =$  $(1), \sigma_3 = (00), \ldots$  Then  $r \in \{0, 1, 2\}^{\mathbb{N}}$  corresponds to the function  $f_r : \{0, 1\}^* \to$  $\{0, 1\}^*$  defined by declaring that  $f_r(\emptyset) = \emptyset$  and that, for any  $\sigma_n$  with  $|\sigma_n| \ge 1$ ,

$$f_r(\sigma_n) = \begin{cases} f_r(\sigma_k), & \text{if } r(n) = 2; \\ f_r(\sigma_k)^{\frown} i, & \text{if } r(n) = i < 2. \end{cases}$$

where k is such that  $\sigma_n = \sigma_k \widehat{\phantom{a}} j$  for some j. Every continuous function F has a representative f as described above, and, in fact, it has infinitely many representatives. We define a measure  $\mu^{**}$  on  $\mathcal{F}$  induced by the standard probability measure on  $\{0, 1, 2\}^{\mathbb{N}}$ . Brodhead, Cenzer, and Remmel [4] defined an (Martin-Löf) random continuous function on  $2^{\mathbb{N}}$  which has a representation in  $\mathcal{F}$  which is Martin-Löf random. The following results are proved in [1, 4]. Random  $\Delta_2^0$ continuous functions exist, but no computable function can be random and no random function can map a computable real to a computable real. The image of a random continuous function is always a perfect set and hence uncountable. For any  $y \in 2^{\mathbb{N}}$ , there exists a random continuous function F with y in the image of F. Thus the image of a random continuous function is a random closed set. The set of zeroes of a random continuous function is a random closed set (if nonempty).

There has been a considerable amount of work on studying reals whose complexity is "low" or trivial from the point of view of randomness. Chaitin

defined a real x to be K-trivial if  $K(x \lceil n) \leq K(1^n) + O(1)$ . We recall that there are noncomputable c.e. sets which are K-trivial and that the K-trivial reals are downward closed under Turing reducibility. The latter is a highly non-trivial result of Nies [18] who also showed that the K-trivial reals form a  $\Sigma_3^0$ -definable ideal in the Turing degrees. In particular, this means that if  $\alpha$  and  $\beta$  are K-trivial, then the join  $\alpha \oplus \beta$  is also K-trivial.

The main goal of this paper is to study K-triviality for closed subsets of  $2^{\mathbb{N}}$ and for continuous functions on  $2^{\mathbb{N}}$ . We define a closed set Q to be K-trivial if the code  $x_Q$  is K-trivial and we define a continuous function  $F: 2^{\mathbb{N}} \to 2^{\mathbb{N}}$ to be K-trivial if it has a representing function  $f \in \mathcal{F}$  which is K-trivial. For example, in section 2, we shall show that, for every K-trivial degree  $\mathbf{d}$ , there is a K-trivial  $\Pi^0_1$  class of degree **d** which is the family of separating sets of a pair of computably inseparable c.e sets. There also exist K-trivial  $\Pi_1^0$  classes of positive measure with no computable member. Every K-trivial closed set contains a Ktrivial member. There is no c.e. low set A such that the sets computed by Aform a basis for the K-trivial classes. In section three, we shall show that for any K-trivial degree **d**, there is a K-trivial continuous function on  $2^{\mathbb{N}}$  with degree d. K-trivial functions map K-trivial reals to K-trivials. A closed set is K-trivial if and only if it is the set of zeroes of some K-trivial continuous function. In section 4, we shall the Medvedev degrees of difficulty of K-trivial  $\Pi_1^0$  classes. There is no maximal or minimal K-trivial Medvedev degree. Finally, in section five, we give a new result on the members of random closed sets, namely that for  $A, W \subseteq \omega$  if  $W \leq_T A'$  then  $W \equiv_T A'$  if and only if W can compute a path through every A-random closed set P such that  $T_P \leq_T A'$ .

### 2 K-trivial closed sets

Since every K-trivial real is  $\Delta_2^0$ , we have that every K-trivial closed set is a strong  $\Pi_2^0$  class. Note also that the canonical code of a  $\Pi_1^0$  class has c.e. degree and that there are K-trivial reals with non-c.e. degree. Hence there are K-trivial closed sets which are not  $\Pi_1^0$  classes.

Analogous to the existence of c.e. K-trivial reals, we will construct several examples of K-trivial  $\Pi_1^0$  classes. Note that a  $\Pi_1^0$  class P is said to be *decidable* if the canonical tree  $T_P$  is computable, which is if and only if the canonical code for P is computable. Thus we want to construct K-trivial  $\Pi_1^0$  classes which are not decidable. The degree of a closed set Q is the degree of the tree  $T_Q$  and also the degree of the canonical code for  $T_Q$ . Since the K-trivial sets are closed under Turing reducibility, a closed set Q is K-trivial if and only if the tree  $T_Q$ is K-trivial. Thus we will also say that  $Q = \emptyset$  is a K-trivial closed set.

We begin with those non-decidable  $\Pi_1^0$  classes with the simplest structure, that is, countable classes with a unique limit path. Our first construction relies on the following notion. If  $A = \{a_0 < a_1 < \cdots\}$  is an infinite set, then Ais said to be *retraceable* if there is a partial computable function  $\phi$  such that  $\phi(a_{n+1}) = a_n$  for all n. The initial subsets of A are A together with the finite sets  $\{a_0, \ldots, a_{n-1}\}$  for each n. Dekker and Myhill [10] showed that every c.e.

degree contains a retraceable  $\Pi_1^0$  set A. Cenzer, Downey, Jockusch and Shore [7] showed that a  $\Pi_1^0$  set A is retraceable if and only if the family I(A) of initial subsets is a  $\Pi_1^0$  class. Clearly I(A) has unique limit element A.

**Theorem 2.1.** For any noncomputable K-trivial c.e. degree **d**, there exists a K-trivial  $\Pi_1^0$  class P of degree **d** such that P has a unique, noncomputable limit element.

*Proof.* Let A be a retraceable  $\Pi_1^0$  set of degree **d**. Then A is K-trivial and noncomputable and is the unique limit element of the  $\Pi_1^0$  class P = I(A) as shown above. It remains to show that the tree  $T_P$  has the same degree as A. Certainly  $T_P \leq_T A$ , since

$$\sigma \in T_P \iff (\forall i < |\sigma|)[\sigma(i) = 1 \to (i \in A \& (\forall j < i)(j \in A \to \sigma(j) = 1))].$$

On the other hand,  $A \leq_T T_P$  since

$$a \in A \iff (\exists \sigma \in \{0,1\}^{a+1}) (\sigma \in T_P \& \sigma(a) = 1).$$

We next construct a K-trivial class having only computable members.

**Theorem 2.2.** For any K-trivial c.e. degree **d**, there exists a K-trivial  $\Pi_1^0$  class of degree **d** with unique limit path  $0^{\omega}$  and all elements computable.

*Proof.* Let B be a co-c.e. set of degree **d** and let  $Q = \{0^{\omega}\} \cup \{\{n\} : n \in B\}$ . Clearly Q has all elements computable and unique limit element  $0^{\omega}$ . It is easy to check that  $T_Q \equiv_T B$ .

Next we wish to obtain a  $\Pi_1^0$  class with no computable members (a special  $\Pi_1^0$  class) such that the code for the class is K-trivial. To do so we rely heavily on the fact that K-triviality is closed under Turing equivalence. Note first that since the K-trivials form an ideal in the Turing degrees, the separating class for two K-trivial sets A, B will be K-trivial, as the set of its extendible nodes (and hence its code) is Turing-equivalent to  $A \oplus B$ . It remains to show there are recursively inseparable K-trivial sets. The following proof due to Steve Simpson.

**Theorem 2.3.** There is a K-trivial  $\Pi_1^0$  class with no computable members.

*Proof.* Let *B* be a noncomputable c.e. *K*-trivial set. Split *B* into disjoint noncomputable c.e.  $A_1, A_2$  as in the Friedberg splitting theorem. Ohashi [20] observed that the proof of the Friedberg splitting theorem in fact gives that  $A_1, A_2$ are recursively inseparable. By the downward closure of *K*-triviality, they are also *K*-trivial. Let *S* be their separating class. Then by the discussion above, *S* is a special *K*-trivial  $\Pi_1^0$  class.

Now a separating class always has measure zero. Next we construct K-trivial classes of arbitrarily large positive measure yet still containing no computable members. The proof makes use of the well-established *cost function* method from the area of algorithmic randomness, first used in Kucera-Terwijn [17] and later made explicit, e.g. in Downey-Hirschfeldt-Nies-Stephan [14].

**Theorem 2.4.** There is a K-trivial  $\Pi_1^0$  class (of arbitrarily large measure) with no computable paths (thus perfect).

*Proof.* There is a well established framework for constructing K-trivial reals in the Cantor space  $2^{\omega}$  in terms of *cost functions*. A good presentation of this can be found in Nies [19]. It is clear that the same method applies to the space  $3^{\omega}$ . Let K be the prefix-free complexity and

$$cost(x,t) = \sum_{x < w \le t} 2^{-K_t(w)}$$

It is well known that  $\lim_x \sup_t cost(x,t) = 0$ . In order to construct a K-trivial  $\Pi_1^0$  class P it suffices to give a monotone approximation  $(P_t)$  to P (in the sense that  $P_t \supseteq P_{t+1}$ ) such that if  $c_t$  is the code for  $P_t$  and  $x_s$  is the least number such that  $c_{s-1}(x) \neq c_s(x)$  then

$$\sum_{s>0} \cos t(x_s, s) \le 1.$$
(2)

Indeed in [19] it is shown that c is K-trivial iff it has a  $\Delta_2^0$  approximation  $(c_t)$  which satisfies (2). To make sure that there are no computable paths through P it suffices to satisfy the following requirements:

$$R_e: \Phi_e \text{ is total } \Rightarrow \Phi_e \notin P$$

where  $(\Phi_e)$  is an effective enumeration of all Turing functionals with binary values. The strategy for  $R_e$  is to modify the code c at some stage so that the tree represented by c no longer extends some initial segment of  $\Phi_e$ . This is done by switching a 2 in c to a 0 or 1 according to which has the desired effect. First note that each  $c_t$  will consist of all 2's except for a finite initial segment, so we will find a suitable digit to switch. Second note that when we change a position in c from 2 to something else (0 or 1), we can effectively adjust the tail of c (the digits after the modified digit) so that the code describes the tree that we get if we cut that branch from the branching node corresponding to the 2 above. This means that if we let  $R_e$  act on c in the way described above, we get an approximation to P which is co-c.e. (so P is a  $\Pi_1^0$  class).

The last consideration is that  $R_e$  cannot change digit n at stage s unless  $cost(n, s) < 2^{-(e+1)}$ . This will make c K-trivial. Let  $\mathbb{N}^{[e]}$  be the e-th column of  $\mathbb{N}$ , i.e. the set of numbers of the form  $\langle e, t \rangle$  for some  $t \in \mathbb{N}$  where  $\langle ., . \rangle$  is a computable bijection from  $\mathbb{N} \times \mathbb{N}$  to  $\mathbb{N}$ . The symbol  $\uparrow$  denotes restriction of the object that precedes it to the numbers  $\langle x$ . For example  $\Phi_e \upharpoonright x \downarrow$  means that  $\Phi_e$  is defined at all arguments  $\langle x$ . All parameters in the construction are in formation and only have current values which correspond to the current stage.

**Construction.** At stage s look for the least e < s such that  $R_e$  has not acted and there is a positive  $x \in \mathbb{N}^{[e]}$  with the property that

- $\Phi_e \upharpoonright x \downarrow$  and is on  $P_s$
- $cost(k(x,s),s) < 2^{-(e+1)}$ , where k(x,s) is the position of node  $\Phi_e \upharpoonright (x-1)$  in the code  $c_s$  of  $P_s$ .

If there is no such e go to the next stage. Otherwise note that since  $R_e$  has not acted and  $x \in \mathbb{N}^{[e]}$ , no strategy has chopped any branch from node  $\Phi_e \upharpoonright (x-1)$  and so the latter is branching. Now switch k(x,s) from 2 to  $1 - \Phi_e(x-1)$  (so that  $\Phi_e \upharpoonright x \notin P$ ) and let larger positions describe the tree that we get by chopping that branch. Go to the next stage.

For the verification, the comments before the description of  $R_e$  explain why the approximation  $(c_t)$  defined in the construction corresponds to a co-c.e. approximation of P, so that P is a  $\Pi_1^0$  class. Each  $R_e$  is satisfied by the standard cost-function argument: there is some  $x_0$  such that for all  $x > x_0$  and all s,  $cost(x,s) < 2^{-(e+1)}$  (by the properties of cost). Finally c is K-trivial since the approximation  $(c_t)$  given in the construction satisfies (2) (that each  $R_e$  acts at most once and contributes cost at most  $2^{-(e+1)}$ ). Finally note that by choosing the witnesses x sufficiently large we can make sure that P has measure arbitrarily close to 1.

**Theorem 2.5.** If P is a K-trivial  $\Pi_1^0$  class then the leftmost path is a K-trivial real.

*Proof.* The leftmost path is computable from the (code of the)  $\Pi_1^0$  class P and since K-triviality is downward closed under Turing reductions it must be K-trivial.

By Nies' top low<sub>2</sub> theorem (see [12]), there is a low<sub>2</sub> c.e. degree above all K-trivial degrees. By Theorem 2.5, this means that the sets computed by it form a basis for the K-trivial  $\Pi_1^0$  classes (while no incomplete c.e. degree has this property with respect to all  $\Pi_1^0$  classes). The following theorem shows that such a c.e. degree cannot be low. Note however that there are low PA degrees, i.e. low degrees such that the sets computed by them form a basis for all  $\Pi_1^0$  classes. The corresponding problem for K-trivial reals—whether there is a low degree bounding all K-trivials—is a major open problem.

**Theorem 2.6.** If A is c.e. and low then there is a K-trivial  $\Pi_1^0$  class which contains no A-computable paths. In other words, there is no c.e. low set A such that the sets computed by A form a basis for the K-trivial  $\Pi_1^0$  classes.

*Proof.* This is similar to the proof that for every c.e. low A there is a K-trivial B such that  $B \not\leq_T A$  (in the same way that the proof of Theorem 2.4 is similar to the construction of a non-computable K-trivial set). If the reader is not familiar with that construction, (s)he might like to have a look at it [19]. We

wish to follow the construction of Theorem 2.4 only now we need to satisfy the following more demanding requirements:

$$R_e: \Phi_e^A \text{ is total } \Rightarrow \Phi_e^A \notin P.$$

In general it is impossible to satisfy these requirements but if we know that A is low we can use the following trick (due to Robinson) to succeed. During the construction we will ask  $\emptyset'$  a  $\Sigma_1^0(A)$  question (for the sake of  $R_e$ ). Note that since A is low,  $\emptyset'$  can answer such questions. At each stage we will only have an approximation to  $\emptyset'$  and so we will get a correct answer possibly after a finite number of false answers. Requirement  $R_e$  will use *witnesses* (in the sense of the proof of Theorem 2.4) from  $\mathbb{N}^{[e]}$ . We will ask the following:

Is there a stage s and a witness x such that

- $\Phi_e^A \upharpoonright x[s] \downarrow$  with correct A-use and  $\Phi_e^A \upharpoonright x[s] \in P_s$
- $cost(k(x,s),s) < 2^{-(n_e+e+3)}$

where  $n_e$  is the number of times that some branch of P has been pruned (i.e. some digit of c has been changed) for the sake of  $R_e$ ?

First notice that the above question refers to the partial computable sequences  $(P_s)$ ,  $(n_e[s])$  which are defined during the very construction. By the recursion theorem we can ask such questions and approximate the right answers: given any partial computable sequence  $(P'_s)$  of  $\Pi_1^0$  classes and uniformly partial computable sequences  $(n'_e[s])$ , we will effectively define a construction in which the questions refer to the given parameters. All of these constructions will define a sequence  $(P_s)$  of  $\Pi_1^0$  classes which monotonically converges to a K-trivial  $\Pi_1^0$  class P which however does not necessarily satisfy the other requirements; also each will define a uniformly partial computable sequence  $(n_e[s])$ . The (double) recursion theorem will give a construction in which the questions asked actually refer to  $(P_s)$  and  $(n_e[s])$ . Such a construction will succeed in satisfying all requirements. Let g(e, s) be a computable function approximating the true answer to the questions above, when these are set to refer to the given parameters  $(P'_s)$ ,  $(n'_e[s])$ .

**Construction.** For stage s and each e < s such that there is an unused  $x \in \mathbb{N}^{[e]}$  satisfying  $\Phi_e^A \upharpoonright x[s] \in P_s$  and  $cost(k(x,s),s) < 2^{-(n_e[s]+e+3)}$  (where  $n_e[s]$  is as above) do the following. Wait for a stage  $t \ge s$  such that g(e,t) = 1 or the computation  $\Phi_e^A \upharpoonright x[s]$  has been spoiled. In the first case switch k(x,s) from 2 to  $1 - \Phi_e(x-1)$  (so that  $\Phi_e \upharpoonright x \notin P$ ) and let larger positions describe the tree that we get by chopping that branch (say that x has been used); proceed to stage s + 1. In the latter case do nothing and test the next value of e. If the above has run over all e < s and we are still at stage s, go to stage s + 1.

For the verification, note that if x is unused at some stage, then currently all nodes of the xth level of P are branching. So each construction defines a (possibly finite) monotone sequence of clopen sets  $P_s$  (and so a  $\Pi_1^0$  class P as a

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limit). Also, for every values of the input  $(P'_s)$ ,  $(n'_e[s])$  the resulting class P is K-trivial as the condition (2) from the proof of Theorem 2.4 holds (at any stage at most one requirement acts and the cost of that action is small by construction). By the double recursion theorem there is a construction such that

$$n_e[s] = n'_e[s] \wedge P_s = P'_s$$

for all s, e; i.e. the input and output as (double) partial computable sequences are the same. This construction must be total (in the sense that it passes through all stages) since every search halts (for example if  $\Phi_e^A \upharpoonright x[s] \in P_s$ ,  $cost(k(x,s),s) < 2^{-(n_e[s]+e+3)}$  and the computation is true then g(e) has to settle at 1 as it guesses correctly). Finally suppose that  $R_e$  is not satisfied. This means that the answer to the *e*-question is a negative one. So g(e) would settle to 0 (since it approximates the correct answer to the *e*-question) and  $R_e$ would act finitely often. But then the cost requirement (in particular  $n_e$ ) would remain constant and (by the properties of cost) for some large enough x, s the computation  $\Phi_e^A[s] \upharpoonright x$  will be correct and  $\Phi_e^A \upharpoonright x[s] \in P_s$ ,  $cost(k(x,s),s) < 2^{-(n_e[s]+e+3)}$  which is a contradiction.  $\Box$ 

# **3** *K*-trivial continuous functions

In [4], the notion of randomness was extended to continuous functions on  $2^{\mathbb{N}}$ . Thus it will be natural to consider K-trivial continuous functions. It was shown in [4] that a random continuous function maps any computable real to a random real. The code for a continuous function may be viewed as a *labeled tree* where every node  $\sigma \in \{0, 1\}^*$  has a label from  $\{0, 1, 2\}$  so that  $\sigma_n$  gets label r(n).

**Proposition 3.1.** For any K-trivial computable function F and any K-trivial real x, F(x) is K-trivial.

*Proof.* Let f be the K-trivial function which codes F. Then y = F(x) may be computed uniformly from f and x as follows. For each m, search for a large enough k such that  $f(x \lceil k)$  has at least m values in  $\{0, 1\}$  and letting y(m) be the mth such value. Then it follows from the closure under join of K-trivial degrees that  $f \oplus x$  is also K-trivial and then by the downward closure that F is K-trivial.

It was shown in [4] that the set of zeroes of a random continuous function is either empty or random.

**Proposition 3.2.** For any K-trivial continuous function F, the set of zeroes of F is a K-trivial closed set.

*Proof.* Let F be K-trivial and let  $Q = \{x : F(x) = 0\}$ . The tree  $T_Q$  may be defined from the K-trivial representative r for F as follows.  $T_Q$  contains a node  $\sigma$  if and only if for all  $\sigma_n = \tau \sqsubset \sigma$ ,  $r(n) \neq 1$ . Clearly  $T_Q \leq_T r$ , so that Q is K-trivial by the downward closure under Turing reducibility.

#### 3 K-TRIVIAL CONTINUOUS FUNCTIONS

This is actually a characterization of the K-trivial closed sets, by the following.

**Proposition 3.3.** For any K-trivial closed set Q, there is a K-trivial continuous function F such that Q is the set of zeroes of F.

*Proof.* Given the K-trivial tree  $T_Q$ , we simply define the desired K-trivial continuous function F by the labelled tree which puts a "0" on all nodes of  $T_Q$  and puts a "1" on every other node. Then F is computable from  $T_Q$  and therefore is K-trivial.

We consider a continuous functions  $F: 2^{\mathbb{N}} \to 2^{\mathbb{N}}$  always in terms of one its representing functions  $f: 2^{<\mathbb{N}} \to 2^{<\mathbb{N}}$ , or, equivalently, in terms of the code of one of its representing functions. Note that by slowing the convergence of the function on finite strings, we may code information into the code of the function. Hence the codes of a given function on Cantor space are always closed upwards in the Turing degrees, so the K-degree of a function should be the K-degree of the canonical code, that which converges as rapidly as possible. However, the canonical code of a function F may be computed from any code, so it follows from the downward closure of K-triviality that F is K-trivial if and only if the canonical code is K-trivial.

**Theorem 3.4.** For any K-trivial degree  $\mathbf{d}$ , there is a continuous function  $F : 2^{\mathbb{N}} \to 2^{\mathbb{N}}$  with canonical code of degree  $\mathbf{d}$ . Moreover, if  $\mathbf{d}$  is c.e., F may be chosen to have left-c.e. canonical code.

Proof. Let  $A = \{a_1, a_2, \ldots\}$  be a set of degree **d**. We define F monotonically increasing such that  $F(0^{\omega}) = 0^{\omega}$  and  $F(1^{\omega}) = \chi_A$ , the characteristic function of A. We work via  $f: 2^{<\mathbb{N}} \to 2^{<\mathbb{N}}$ . To begin, let  $f(0) = 0^{(a_1+1)}$  and  $f(1) = 0^{a_1}1$ . Now suppose we have defined  $f(\sigma) = \tau$  for  $|\sigma| = n - 1$ , and that  $a_n - a_{n-1} = m$ . Then let  $f(\sigma 0) = \tau 0^m$  and  $f(\sigma 1) = \tau 0^{m-1}1$ . It is clear that  $f \equiv_T A$ , so f is of degree **d**. Furthermore, if **d** is a c.e. degree and A is chosen c.e., the code given by f will be left-c.e., as shown by an analysis of the construction.

The code for f may be thought of as composed of blocks of length  $2^n$  for  $n \ge 1$ , in order of increasing size, corresponding to different levels of the tree. At level n, if  $n-1 \notin A$ , the block will be all zeros. If  $n-1 \in A$  and  $|A \upharpoonright n| = k$ , the block will consist of  $2^k$  subblocks of  $2^{n-k}$  bits each, beginning with a subblock of all zeros and alternating to end with a subblock of all 1s. Thus the structure of the  $n^{th}$  block is determined entirely by whether n-1 is in A, and if so, how many values < n-1 are also in A.

Given an enumeration of A as  $A_s$ ,  $s \in \omega$ , we may define an approximation to the function F with corresponding canonical code  $C_s$ . We show that as sincreases, a bit of  $C_s$  holding a one may only change to zero if a preceding bit changes from zero to one; this shows that  $C_s$  is an increasing approximation. As the enumeration  $A_s$  is computable by assumption, the canonical code of F is then left-c.e. Without loss of generality we consider a single level of the tree, n, and a single stage, s. If the corresponding block of  $C_{s-1}$  is all zeros, this level causes no trouble at stage s: either it remains all zeros or half of its zeros change to ones. If the  $n^{th}$  block of  $C_{s-1}$  is half zeros and half ones, then enumeration into A at stage s may cause the subblocks to multiply and rearrange. However, this only occurs when some k < n-1 enters  $A_s$ , causing the corresponding earlier level to change from all zeros to half zeros and half ones.

# 4 Medvedev degrees of *K*-trivial classes

The degrees of difficulty of K-trivial closed sets should be of interest. Simpson [21], Cenzer and Hinman [8] and others have developed the subject of the Medvedev (or strong) degrees of  $\Pi_1^0$  classes. Here  $P \leq_M Q$  means that there is a computable function mapping Q into P. The Medvedev degrees form a lattice where the meet operation is the disjoint union

$$P \land Q = P \oplus Q = \{0^{\frown} x : x \in P\} \cup \{1^{\frown} x : x \in Q\}$$

and the join is the product,

$$P \lor_M Q = P \otimes Q = \{x \oplus y \mid x \in P \text{ and } y \in Q\}.$$

There is a least degree  $0_M$  consisting of the classes with a computable member and a highest degree  $1_M$  which can be viewed as a universal  $\Pi_1^0$  class. A related structure are the *Muchnik (or weak) degrees*, where P is weakly reducible to Q ( $P \leq_w Q$ ) if for every  $\beta \in Q$  there exists  $\alpha \in P$  such that  $\alpha \leq_T \beta$ .

One general problem is where the K-trivial  $\Pi_1^0$  classes fit into the Medvedev (or Muchnik) degrees of the  $\Pi_1^0$  classes. We have only a few results so far. Since the K-trivial reals form an ideal in the Turing degrees, it follows that the family of  $\Pi_1^0$  classes which contain a K-trivial real form an ideal in the lattice of Medvedev degrees (and also in the lattice of Muchnik degrees). The following proposition says that the K-trivial  $\Pi_1^0$  classes are closed under the meet and the join operation in the Medvedev degrees.

**Proposition 4.1.** The K-trivial  $\Pi_1^0$  classes are closed under disjoint unions and under products.

*Proof.* The degree of the code of the disjoint union of two  $\Pi_1^0$  classes is the join of the degrees of the codes of these  $\Pi_1^0$  classes. The same holds for products and since K-triviality is invariant in the Turing degrees and closed under join (in the Turing degrees) the proposition follows.

Note however that K-triviality (for  $\Pi_1^0$  classes) is not closed under Medvedev equivalence. For example the least Medvedev degree contains  $\Pi_1^0$  classes with computable leftmost path but with a canonical code which computes the halting problem. Hence we will call a Medvedev degree K-trivial if it contains a Ktrivial class. Since there is no c.e. complete K-trivial real and any Medvedev complete  $\Pi_1^0$  class is also c.e. complete, it follows that no K-trivial  $\Pi_1^0$  class is Medvedev complete. A relevant question is whether there a top Medvedev degree among the K-trivials, or even a maximal one. **Theorem 4.2.** There is no maximal K-trivial Medvedev or Muchnik degree.

*Proof.* Given any K-trivial  $\Pi_1^0$  class Q it suffices to construct a K-trivial  $\Pi_1^0$  class P which is not weakly reducible to Q. Indeed, in that case  $P \otimes Q$  would be K-trivial strongly above Q and not weakly below Q. We argue as follows. By the Low Basis Theorem, Q contains a member  $\alpha$  of low Turing degree. Now by Theorem 2.6, there is a K-trivial  $\Pi_1^0$  class P with no path computed by  $\alpha$ . This means that P is not weakly reducible to Q.

The above proof also shows that there is no  $\Pi_1^0$  class P which has low canonical code and is weakly above all K-trivial  $\Pi_1^0$  classes.

Next we show that there is also no minimal K-trivial Medvedev or Muchnik degree. The following combines Lemma 2 and Lemma 5 from [3]. It is used there to show that any  $\Pi_1^0$  class  $P \neq_M 0_M$  may be split into subclasses  $P_0$  and  $P_1$ , each properly below P and joining to P.

**Lemma 4.3** (Binns). Let P be any  $\Pi_1^0$  class with no computable element and let A be any c. e. set. Then there exist Turing incomparable c.e. sets  $A^0$  and  $A^1$  such that (i)  $A^0 \cup A^1 = A$  and  $A^0 \cap A^1 = \emptyset$  and (ii) for each  $i \in \{0, 1\}$  and  $x \in P, x \not\leq_T A^i$ . Furthermore, if Q is any  $\Pi_1^0$  class such that  $Q <_M P$ , then we can choose  $A^0$  and  $A^1$  such that  $P \not\leq_M (\{A^i\} \otimes Q)$  for i = 0, 1.

We need another fact about separating classes.

**Lemma 4.4.** For any sets A, B, if  $A^0, A^1$  is a splitting of A, then  $S(A^0, B) \otimes S(A^1, B) \equiv_M S(A, B)$ .

*Proof.* For the one direction, note that  $S(A, B) \subset S(A^i, B)$ , so that a map from S(A, B) into  $S(A^0, B) \otimes S(A^1, B)$  may be given by  $F(X) = X \oplus X$ . For the other direction, given  $X_0 \oplus X_1$  such that  $X_i \in S(A^i, B)$ , let  $G(X_0 \oplus X_1) = X_0 \cup X_1 \in S(A, B)$ .

**Theorem 4.5.** For any K-trivial  $\Pi_1^0$  class P with no computable member, there exists a K-trivial  $\Pi_1^0$  class Q such that  $0 <_M Q <_M P$  and similarly for the Muchnik degrees.

Proof. Given the K-trivial  $\Pi_1^0$  class P, let A and B be disjoint computably inseparable K-trivial c. e. sets so that S(A, B) is a K-trivial  $\Pi_1^0$  class as in Theorem 2.3. Now apply Lemma 4.3 to obtain  $A^0$  and  $A^1$  and observe that each  $A^i \leq_T A$  and is therefore also K-trivial. Now let  $P^i = P \oplus S(A^i, B)$ for i = 0, 1; each  $S(A^i, B)$  is K-trivial as in Theorem 2.3 and thus each  $P^i$ is K-trivial by Proposition 4.1. Certainly each  $P^i \leq_M P$  since  $S(A^i, B) \leq_M$ S(A, B), but  $P \nleq P^i$ , since  $P^i \nleq P$  since  $0 \frown A^i \in P^i$  and  $x \nleq_T A^i$  for each i. Thus  $P^i <_M P$ . On the other hand, it follows from distributivity that  $P^0 \lor P^1 \equiv_M P \lor S(A, B) \neq_M 0_M$ , so that at least one of  $P^0$  and  $P^1$  has no computable member. Let Q be  $P^i$  such that  $P^i \neq_M 0_M$ .

We can also obtain a splitting result for K-trivial separating classes.

#### 5 RANDOM CLOSED SETS

**Theorem 4.6.** For any K-trivial  $\Pi_1^0$  separating classes  $Q <_M P$ , there exist K-trivial  $\Pi_1^0$  classes  $P^0$  and  $P^1$  such that  $P <_M P^0$ ,  $P^1 <_M Q$  and  $P^0 \lor P^1 \equiv_M P$ .

*Proof.* Let Q = S(A, B) where A and B are K-trivial, let  $A^0$  and  $A^1$  be given by Lemma 4.3 and let  $P^i = (S(A^i, B) \lor Q)$ . Since  $Q <_M P$ , it follows from the proof of Theorem 4.5 that each  $P^i <_M P$ . It follows from the furthermore clause of Lemma 4.3 that  $P \not\leq_M S^i \lor Q$ , so that each  $P^i <_M P$ . Applying distributivity and Lemma 4.4, we have  $P^0 \lor P^1 \equiv_M P$ . Now suppose by way of contradiction that  $P^i \leq_M Q$ . Then  $P^i \leq P^{1-i}$ , so that  $P \equiv_M P^0 \lor P^1 = P^{1-i} <_M P$ , a contradiction. Thus  $Q <_M P^i$  for each i.

To get a splitting for an arbitrary P, we would need a K-trivial separating class above P. By Theorem 4.2, there is no single such class. The question of whether every K-trivial  $\Pi_1^0$  class is  $\leq_m S(A, B)$  for some K-trivial separating class may be of interest.

### 5 Random closed sets

We conclude with some results about the degrees of the members of a random closed set.

**Theorem 5.1.** If P is a random closed set then there exists  $\beta \in P$  such that  $\beta <_T P$ , where P is identified with its canonical code.

*Proof.* Let  $T_P$  be the tree without dead ends which represents P and consider the least branching node  $\sigma$  of  $T_P$ . The restriction  $T_*$  of  $T_P$  below  $\sigma$  has the same degree as  $T_P$  and is random. Now let  $T_* = T_0 \boxplus T_1$ , where  $\boxplus$  is the tree join, as defined in [2] and  $T_i$  is the restriction of  $T_*$  below  $\sigma * i$ . By a result in [2] we have that  $T_i$  are random relative to eachother. In particular,  $T_i \not\leq_T T_{1-i}$ and so,  $T \not\leq_T T_i$  for i = 0, 1. Then if  $\beta$  is the leftmost path of  $T_0$  we have  $\beta \leq_T T_0 \leq_T T$  and  $T \not\leq_T \beta$ , so that  $\beta <_T T$ .

Theorem 5.1 shows in particular that every  $\Delta_2^0$  random closed set has an incomplete  $\Delta_2^0$  path. Nevertheless the following theorem shows that for any incomplete  $\Delta_2^0$  degree, there is a random closed set with no paths computable from that degree.

**Theorem 5.2.** Let A be an oracle and let  $W \leq_T A'$ . Then  $W \equiv_T A'$  iff W can compute a path through every A-random closed set P with  $T_P \leq_T A'$ .

Proof. We show the theorem for  $A = \emptyset$  as a relativisation is straightforward. First of all  $\emptyset'$  can compute a path through every  $\Delta_2^0$  random closed set, as it was observed in [2]. For the other direction it is enough to show that if  $W <_T \emptyset'$ then there is a  $\Delta_2^0$  random closed set P such that  $\beta \not\leq_T W$  for all  $\beta \in P$ . We construct the canonical code of P recursively in oracle  $\emptyset'$ . By [16] there is a  $\Pi_1^0$  class L in the space  $3^{\omega}$  (the space of canonical codes) which contains only random sequences, and a recursive function f such that  $\mu([\sigma] \cap P) > 2^{-f(|\sigma|)}$ or  $[\sigma] \cap P = \emptyset$ , for all  $\sigma \in 3^{\omega}$  ( $\mu$  is the standard measure on  $3^{\omega}$ ). Recall from

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[2] that  $\mu^*(\{Q \in \mathcal{C} \mid Q \cap [\tau] \neq \emptyset\}) = {\binom{2}{3}}^{|\tau|}$  and let g(n) be the least t such that  ${\binom{2}{3}}^t < 2^{-f(n)}$ . Also let h(n) be the least t such that  $\emptyset' \upharpoonright n = \emptyset'[t] \upharpoonright n, Y_n$  the set of  $\sigma \in 3^{<\omega}$  of length n such that  $[\sigma] \cap L \neq \emptyset$  and  $p_s$  the largest p such that  $\Phi_e^W[s] \upharpoonright p \downarrow$ . We construct a random  $x_P \in 3^{\omega} = \bigcup_s \sigma_s$  such that  $|\sigma_s| \leq s$ ,  $\sigma_s \subseteq \sigma_{s+1}, \sigma_0 = \emptyset$  for all s, and

$$R_e: \Phi_e^W$$
 is total  $\Rightarrow \Phi_e^W \notin P$ 

for all  $e \in \mathbb{N}$ , where  $\Phi_e$  is an effective enumeration of all Turing functionals with binary values. We say that  $R_e$  requires attention at stage s + 1 if

$$\{Q \in \mathcal{C} \mid [\Phi_e^W \upharpoonright p_s] \cap Q \neq \emptyset \text{ and } \sigma_s \subset x_Q\} \neq \emptyset$$
(3)

(i.e. there are extensions of  $\sigma_s$  which do not satisfy  $R_e$ ) and either  $\Phi_e^W[h(s)] \upharpoonright g(s) \downarrow$  or  $R_e$  received attention at s. We order the strings in  $3^{<\omega}$  as usual, first by length and then lexicographically.

**Construction** At stage s + 1 consider the least e < s such that  $R_e$  requires attention at s + 1. If there is an extension  $\rho$  of  $\sigma_s$  which belongs to  $Y_s$  and

$$\{Q \in \mathcal{C} \mid [\Phi_e^W \upharpoonright g(s)] \cap Q \neq \emptyset \text{ and } \rho \subset x_Q\} = \emptyset$$
(4)

then let  $\sigma_{s+1} = \sigma_s * \rho(|\sigma_s|)$  for the least such  $\rho$ . Otherwise, or even if there is no e < s such that  $R_e$  requires attention, let  $\sigma_{s+1} = \sigma_s$ .

**Verification** By construction we have that  $[\sigma_s] \cap L \neq \emptyset$  for every s and the sequence  $(\sigma_s)$  is recursive in  $\emptyset'$ . So there is some random closed set P with  $x_P \in \bigcap_s [\sigma_s]$ . First we show that if  $R_e$  stops requiring attention after some stage  $s_0$  then it is satisfied by all closed sets P with  $x_P \in \bigcap_s [\sigma_s]$ . Indeed, this is the case if  $\Phi_e^W$  is not total, and if on the other hand it is total, there would be some  $s+1 > s_0$  such that  $\Phi_e^W[h(s_1)] \upharpoonright g(s) \downarrow$  (otherwise W would compute a function which dominates h, thus computing  $\emptyset'$ , a contradiction). By hypothesis  $R_e$  does not require attention at s+1 and so we must have that (3) does not hold which means that all extensions of  $\sigma_s$ , hence  $x_P$ , satisfy  $R_e$ .

Now we can show by induction that for each e the requirement  $R_e$  is satisfied by all closed sets P with  $x_P \in \bigcap_s [\sigma_s]$  (from this it follows that  $\lim_s |\sigma_s| = \infty$ and so there is only one such  $x_P$ ). By the previous discussion it suffices to show that  $R_e$  requires attention finitely often, for each e. Suppose, for a contradiction, that there is a least e such that  $R_e$  requires attention infinitely often and let  $s_1$ be the least stage after which no  $R_i$ , i < e requires attention. Then (3) holds for all s. If  $s_2$  is the least stage  $\geq s_1$  at which  $R_e$  requires attention, by the choice of  $s_1$  the requirement  $R_e$  did not receive attention at  $s_2 - 1$  and so we must have  $\Phi_e^W[h(s_2)] \upharpoonright g(s) \downarrow$ . Then  $R_e$  requires attention at all stages  $s \geq s_2$ . Since  $(\frac{2}{3})^{g(s_2)} < 2^{-f(s_2)}$  and  $|\sigma_{s_2}| \leq s_2$  there is some  $\rho \supset \sigma_{s_2}$  such that  $[\rho] \cap L \neq \emptyset$ and (4) holds with  $s = s_2$ . At some stage  $s_3 \geq s_2$  we will have  $\rho \in Y_{s_3}$  and  $\sigma_{s_2} = \sigma_{s_3}$  so the contruction will set  $\sigma_{s_4} = \rho$  at some stage  $s_4 \ge s_3$  and for all  $s \ge s_4$  (3) will not hold. Hence  $R_e$  will not require attention after  $s_4$ , which is a contradiction. This concludes the induction and the proof of the theorem.  $\Box$ 

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