

# Tutorial on $\Pi_1^0$ Classes

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Plan:

- Basic definitions; examples
- Basis and antibasis theorems
- Connections to randomness
- Enumeration and index sets
- Lattice intervals and invariance
- Lattice embeddings and theories

## Definitions

$\omega$ : natural numbers, beginning at 0 ( $k, n, m$ )

$2^{<\omega}$ : set of all finite binary sequences (a.k.a.  $\{0, 1\}^*$ );  
complete binary-branching tree ( $\sigma, \tau, \rho$ )

$2^\omega$ : set of all infinite binary sequences; Cantor space ( $X, Y, Z$ )

*subtree* of  $2^{<\omega}$ : subset closed under initial segment; dead ends  
allowed

$\Pi_1^0$  *class*: set of infinite paths through a computable subtree  
of  $2^{<\omega}$

## Definitions

Empty string denoted  $\lambda$  (often also written  $\langle \rangle$ )

Length of  $\sigma$  is  $|\sigma|$

$0^n, 1^n, 0^\omega, 1^\omega$ : string of all 0s or all 1s of length in superscript

Concatenation of  $\sigma$  and  $\tau$  indicated by  $\sigma\tau$  or  $\sigma\hat{\ } \tau$ .

If  $\tau$  extends  $\sigma$  ( $\exists \rho(\sigma\rho = \tau)$ ), write  $\sigma \subseteq \tau$ . If  $\sigma \not\subseteq \tau$  and  $\tau \not\subseteq \sigma$ ,  
 $\sigma \perp \tau$ .

## Definitions

$X \upharpoonright i$  is the length- $i$  initial segment of  $X$

If  $|\sigma| = n$ ,  $\sigma(0)$  is the first bit of  $\sigma$  and  $\sigma(n - 1)$  the last.

$[\cdot]$  means “infinite strings associated with” for us: If  $T$  is a tree,  $[T]$  is the associated  $\Pi_1^0$  class; if  $\sigma$  is a finite string,  $[\sigma]$  is the set of all infinite strings that extend  $\sigma$  (*interval* around  $\sigma$ )

Lattice of all  $\Pi_1^0$  classes: denoted  $\mathcal{E}_\Pi$ .

## Working within $2^\omega$

Topology: basic clopen sets are intervals.

Measure: size of interval  $[\sigma]$  is  $2^{-|\sigma|}$  (coin-toss probability measure).

Metric: distance between  $X$  and  $Y$  is measure of least interval containing both; i.e.,  $2^{-|\sigma|}$  for  $\sigma$  the longest initial segment common to  $X$  and  $Y$ .

## Solution sets

Perhaps the most significant use of  $\Pi_1^0$  classes is as representations of solution sets to problems of finding examples of something (e.g. separating sets, ideals, zeros of a function). When the problem is presented as a computably enumerable sequence of computable requirements we can often build a  $\Pi_1^0$  class with paths corresponding exactly to solutions of the problem.

Note these proofs need not be effective – there may be no solution that is computable. If we collect the solutions into a  $\Pi_1^0$  class, we may be able to make other complexity-related statements about them, though.

## Template for building a $\Pi_1^0$ class

- Start with  $\lambda$ , the empty node.
- Unless otherwise instructed, at stage  $s + 1$  enumerate both children of every length- $s$  node in the tree.
- Concurrently enumerate a list of properties the infinite paths must have.
- Cease extending any node when you see all sequences in its interval will fail some property.
- To survive at all levels, a path must satisfy all properties.
- Why computable? Only put nodes in, never take them out, and all length- $s$  nodes are in at stage  $s$ .

### Example 1: Separating sets (the canonical example)

Have disjoint c.e.  $A$  and  $B$ . Associate elements of  $\omega$  with levels of the tree, starting at level 1; paths are interpreted as characteristic functions.

Requirement on paths  $X$ : if  $n \in A$ ,  $X(n) = 1$ . If  $n \in B$ ,  $X(n) = 0$ . Enumeration of  $A$  and  $B$  gives enumeration of desired properties.

Pruning method: If  $n$  enters  $A$  at stage  $s$ , cease extending any living length- $s$  node  $\sigma$  such that  $\sigma(n) = 0$ . Likewise for  $B$  and  $\sigma(n) = 1$ .

Correct  $\Pi_1^0$  class: Since any number entering  $A$  or  $B$  must enter at some finite stage, at that stage all paths containing the wrong level- $n$  value will be killed.



## Example 2: Zeros of a computable function

Have computable  $f : 2^\omega \rightarrow 2^\omega$ , presented by enumeration of pairs of intervals  $\langle [\sigma_n], J_n \rangle$  ( $\{\sigma_n\}$  enumeration of all finite strings in lexicographical order) such that  $f[[\sigma_n]] \subseteq J_n$  and if  $\{X\} = \lim_i \sigma_i$ ,  $\{f(X)\} = \bigcap_i J_i$ . Paths of the tree interpreted as elements of  $2^\omega$ .

Requirement on paths  $X$ :  $f(X) = 0^\omega$ .

Pruning method: If  $\langle [\sigma_s], J_s \rangle$  is such that  $0^\omega \notin J_s$ , cease extending any living length- $s$  node extending  $\sigma_s$ .

Correct  $\Pi_1^0$  class: If  $f(X) \neq 0^\omega$ , then  $f(X) = Y$  for some  $Y$  a nonzero distance from  $0^\omega$ . At some finite stage the sequence of intervals intersecting to  $\{Y\}$  will be small enough to exclude  $0^\omega$ .

Likewise:

- Fixed points of a computable function (prune when the preimage and image intervals are disjoint)
- Points at which the computable function attains a maximum [minimum] (when you see  $\langle I_1, J_1 \rangle, \langle I_2, J_2 \rangle$  such that all elements of  $J_1$  are strictly less [greater] than all elements of  $J_2$ , prune  $I_1$ )

## Other examples

- Complete consistent extensions of an axiomatizable first-order theory (i.e., one whose true sentences form a c.e. set): levels of tree correspond to all sentences in language; prune when you see inconsistency.
- Prime ideals of a c.e. commutative ring with unity: levels of tree correspond to all elements of ring; prune when you see 1s at levels  $a, b$  and 0 at level  $a + b$ , or 1 at level  $a$  and 0 level  $ab$  for some  $b$ , or 0s at levels  $a, b$  and 1 at level  $ab$  (need commutativity for that characterization of primality).

## Completions of PA

PA, or Peano Arithmetic, is a first-order formalization of arithmetic consisting of  $=$ ,  $+$ ,  $\cdot$ ,  $0$ , successor, and induction.

PA is axiomatizable so its completions form a  $\Pi_1^0$  class.

Solovay & Scott proved the degrees of consistent extensions of PA and completions of PA coincide with each other and with the degrees  $\mathbf{a}$  such that every  $\Pi_1^0$  class contains a path of degree  $\leq_T \mathbf{a}$  (these are the PA degrees, denoted  $\mathbf{a} \gg \mathbf{0}$ ).

## DNR<sub>2</sub>

Let  $\{\varphi_e\}_{e \in \omega}$  be an enumeration of partial computable functions.  $X \in k^\omega$  is *diagonally non-recursive* (DNR<sub>k</sub>) if  $(\forall n)[X(n) \neq \varphi_n(n)]$ .

The DNR<sub>2</sub> sets form a  $\Pi_1^0$  class (whenever you see convergence of a new computation of  $\varphi_n(n)$ , prune paths that agree with it at level  $n$ ).

The Turing degrees of paths of DNR<sub>2</sub> are the same as those of PA, but DNR<sub>2</sub> is a separating class.

Steve will tell more about DNR<sub>2</sub> in the context of Medvedev and Muchnik degrees.

We should note that in some cases *every*  $\Pi_1^0$  class represents a solution set for some instantiation of a given problem, and in some cases not.

For example:

Not every  $\Pi_1^0$  class is a separating class, clearly: need only two length- $n$  nodes with different branching properties.

## Representability theorems

Every  $\Pi_1^0$  class represents

- the set of zeros of some computable function (can build the function out of the tree).
- the set of fixed points of some computable function.
- the set of points at which some computable function attains its minimum [maximum].
- the set of complete consistent extensions of some axiomatizable theory.
- the set of prime ideals of some c.e. commutative ring with unity.

A few basis theorems (Jockusch and Soare, 1972)

Every nonempty  $\Pi_1^0$  class  $P \subseteq 2^\omega$  contains

- (a) a path of low Turing degree;
- (b) a path of c.e. Turing degree;
- (c) a computable path or two paths with degree infimum zero;
- (d) a path of hyperimmune-free degree.



## Consequences of basis theorems

A computable function need not have a computable zero, but it must have a zero of low degree and one of c.e. degree. If it has no computable zeros it has two zeros which form a minimal pair in the Turing degrees.

Likewise a pair of c.e. sets must have a c.e. separating set (this is clear anyway) and a low separating set.

One proof: Low basis theorem (forcing with  $\Pi_1^0$  classes)

Given  $P = [T]$  for computable  $T$ , define a sequence of computable subtrees  $T = T_0 \supseteq T_1 \supseteq \dots$  so  $\bigcap_e [T_e]$  is nonempty and contains only low paths.

By induction, assume  $T_e$  is defined and infinite. Let  $U_e = \{\sigma : \Phi_{e,|\sigma|}^\sigma(e) \uparrow\}$  (standard enumeration of functionals  $\Phi_e$ );  $U_e$  is a computable tree. Using  $\mathbf{0}'$ , choose  $T_{e+1} = T_e$  if  $U_e \cap T_e$  is finite, and  $T_{e+1} = U_e \cap T_e$  otherwise. Hence in  $T_{e+1}$  either all paths  $X$  give  $\Phi_e^X(e) \uparrow$  or all give  $\Phi_e^X(e) \downarrow$ , and all  $T_e$  are infinite so  $\bigcap_e [T_e] \neq \emptyset$  by compactness. The construction is computable in  $\mathbf{0}'$ , so  $X' \leq_T \mathbf{0}'$  for all  $X \in \bigcap_e [T_e] \subseteq [T]$ .

## Antibasis theorems

- A nonempty  $\Pi_1^0$  class need not have a computable member (Kreisel 1953)
- The  $\Pi_1^0$  class with no computable member may even have positive measure, though its measure cannot be a computable real
- The low and c.e. paths need not be the same (Arslanov 1981)
- The minimal pair need not both be  $\Delta_2^0$  (Kučera 1988)

PA, and hence  $\text{DNR}_2$ , satisfies all but the second of these.

## Consequences of antibasis theorems

We can't *a priori* say anything about separating sets, since not all  $\Pi_1^0$  classes are separating classes (though many antibasis theorems hold for separating classes as well – as  $\text{DNR}_2$  witnesses).

However, we can say there is a computable function with no computable zeros, even one that has a set of zeros of positive measure but still no computable one.

## More membership theorems

We have a lot of degree control (Jockusch and Soare, 1972):

- There is a nonempty  $\Pi_1^0$  class such that the only c.e. degree  $\geq_T$  any path of the class is  $\mathbf{0}'$ .
- For any c.e. degree  $\mathbf{c}$  there is a  $\Pi_1^0$  class such that the degrees of its c.e. paths are exactly those  $\geq_T \mathbf{c}$ .
- For any degree  $\mathbf{a}$  there is a nonempty  $\Pi_1^0$  class with no members of degree  $\mathbf{0}$  or  $\mathbf{a}$ .
- There is a nonempty  $\Pi_1^0$  class all of whose members are Turing incomparable.

A version of that last one for separating classes (JS '72):

General: There is a nonempty  $\Pi_1^0$  class all of whose members are Turing incomparable.

Specific: There are disjoint c.e. sets  $A$  and  $B$  that are computably inseparable such that any two separating sets of  $A$  and  $B$  either have finite difference or are Turing incomparable.

## Connections to randomness

We take on faith that the random reals are exactly those that pass the *universal Martin-Löf test*. That is, there is a computable sequence of  $\Sigma_1^0$  classes (subsets of  $2^\omega$ ) such that the nonrandom reals are exactly those reals in the intersection of the sequence. Furthermore the  $n^{\text{th}}$  class in the sequence has measure bounded by  $2^{-n}$  (Denis will elaborate).

As the complement of a  $\Sigma_1^0$  class is a  $\Pi_1^0$  class, there are  $\Pi_1^0$  classes all of whose elements are random; in fact with measure arbitrarily close to 1.

The  $\Pi_1^0$  classes of positive measure are exactly those containing a random real (observation/Kurtz).

Every  $\Pi_1^0$  class of positive measure has an element of every 1-random degree (Kučera).

Downey and Miller jump inversion (2006):

If  $P$  is a  $\Pi_1^0$  class of positive measure, then for every  $\Sigma_2^0$  set  $S \geq_T 0'$ , there is a  $\Delta_2^0$  real  $A \in P$  such that  $A' \equiv_T S$ .

Taking  $P$  to be one of the  $\Pi_1^0$  classes containing only random reals, we get a  $\Delta_2^0$  random real  $A$  that jumps to  $S$ .



## Enumerations

Before constructing an enumeration of all  $\Pi_1^0$  classes, we show the complexity of tree representation is flexible:

**Proposition.** For any  $P \subseteq 2^\omega$ , TFAE:

- (a)  $P = [T]$  for some  $\Pi_1^0$  tree  $T \subseteq 2^{<\omega}$ ;
- (b)  $P = [T]$  for some computable tree  $T \subseteq 2^{<\omega}$ ;
- (c)  $P = [T]$  for some primitive recursive tree  $T \subseteq 2^{<\omega}$ .

Another proof:

(c)  $\Rightarrow$  (b)  $\Rightarrow$  (a) is clear.

(a)  $\Rightarrow$  (b): From  $\Pi_1^0$   $T$  given by computable relation  $R$  such that  $\sigma \in T \Leftrightarrow (\forall n)R(n, \sigma)$ , build computable tree  $S \supseteq T$ :

$$\sigma \in S \iff (\forall m, n \leq |\sigma|)R(m, \sigma \upharpoonright n).$$

(b)  $\Rightarrow$  (c): From computable  $T$  given by total computable  $\{0, 1\}$ -valued function  $\varphi$  such that  $\sigma \in T \Leftrightarrow \varphi(\sigma) = 1$ , build primitive recursive tree  $S \subseteq T$ :

$$\sigma \in S \iff (\forall n < |\sigma|) \neg \varphi_{|\sigma|}(\sigma \upharpoonright n) = 0.$$

Enumerating the  $\Pi_1^0$  classes via primitive recursive trees

For  $\{W_e\}_{e \in \omega}$  an enumeration of all c.e. sets and  $\{\sigma_e\}_{e \in \omega}$  an enumeration of  $2^{<\omega}$  (lexicographically, say), define the tree  $T_e$  by

$$\sigma \in T_e \Leftrightarrow (\forall n < |\sigma|) [\sigma_n \subseteq \sigma \rightarrow n \notin W_{e,|\sigma|}].$$

Then  $P_e = [T_e]$  enumerates all  $\Pi_1^0$  classes.

Note that neither the proposition about equivalence of representations nor the construction of the enumeration of  $\Pi_1^0$  classes is dependent on using  $\Pi_1^0$  subclasses of  $2^\omega$ ; both will go through if we use  $\omega^\omega$ . We will stick to the former but there are many additional index set results for  $\omega^\omega$ .

Recall that given an enumeration  $\{\xi_e\}_{e \in \omega}$  (of anything) an *index set*  $\mathcal{I}$  is any subset of  $\omega$  such that if  $a \in \mathcal{I}$  and  $\xi_a = \xi_b$ , then  $b \in \mathcal{I}$ .

A set  $A \subseteq \omega$  is  $H_n^m$ -complete (for  $H = \Pi, \Sigma, \Delta$ ) if it is  $H_n^m$  and every other  $H_n^m$  set  $B$  is 1-reducible to  $A$ .

In our setting, the index sets will often be properties of trees, but sets of indices of  $\Pi_1^0$  classes. That is, many of the sets will be of the form

$$\mathcal{I} = \{e : P_e \text{ has a tree representation with property } \alpha\},$$

and all indices  $i$  of  $P_e$  will be in the set if at least one of them corresponds to a tree  $T_i$  with property  $\alpha$ .

## Why should we care?

We can transfer these results to statements about index sets of computable mathematical problems. For instance, the index set of primitive recursive graphs with a 4-coloring is  $\Pi_1^0$ -complete, but the index set of those with a *computable* 4-coloring is  $\Sigma_3^0$ -complete; this strengthens the result that there is a computable 4-colorable graph with no computable 4-coloring.

Let  $\mathcal{I}(\mathcal{P})$  be the index set of classes with property  $\mathcal{P}$ .

- $\mathcal{I}(\text{nonempty})$  is  $\Pi_1^0$ -complete.
- $\mathcal{I}(\text{no more than } c \text{ paths})$  is  $\Pi_2^0$ -complete for fixed  $c \geq 1$ .
- $\mathcal{I}(\text{exactly } c \text{ paths})$  is  $\Pi_2^0$ -complete for  $c = 1$  and  $D_2^0$ -complete for  $c > 1$ .
- $\mathcal{I}(\text{finite})$  is  $\Sigma_3^0$ -complete.
- $\mathcal{I}(\text{countable})$  is  $\Pi_1^1$ -complete.

$D_n^m$  sets are those expressible as the difference of two  $\Sigma_n^m$  sets.

A few more, as we are often interested in the existence of computable solutions to problems:

- $\mathcal{I}(\text{no comp. paths})$  and  $\mathcal{I}(\text{nonempty; no comp. paths})$  are  $\Sigma_3^0$ -complete.
- $\mathcal{I}(\text{more than } c \text{ comp. paths})$  is  $\Sigma_3^0$ -complete.
- $\mathcal{I}(\text{exactly } c \text{ comp. paths})$  is  $D_3^0$ -complete.
- $\mathcal{I}(\text{infinitely many comp. paths})$  is  $\Pi_4^0$ -complete.

Again:  $D_n^m =$  difference of two  $\Sigma_n^m$  sets.



Cenzer and Remmel (CR):

There exist computable functions taking indices for computably continuous functions (CCFs) on  $2^\omega$  to indices for  $\Pi_1^0$  classes representing their set of zeroes and conversely.

This allows us to transfer index set results. For example,

- The index set of CCFs which have exactly  $c$  zeros for any fixed  $c \geq 1$  is  $D_2^0$ -complete.
- The index set of CCFs which have exactly  $c$  computable zeros for any fixed  $c \geq 1$  is  $D_3^0$ -complete.
- The index set of CCFs which have more than  $c$  zeros for any fixed  $c \geq 1$  is  $\Sigma_2^0$ -complete.
- The index set of CCFs which have more than  $c$  computable zeros for any fixed  $c \geq 1$  is  $\Pi_3^0$ -complete.

## One more theorem about tree representations

In fact, polynomial-time computable trees suffice to represent all  $\Pi_1^0$  classes.

Of course, must say what we mean by polynomial-time computable tree. In  $2^\omega$  it is straightforward; if we were dealing with  $\Pi_1^0$  classes in a different space we would have to do some work.

Given a computable function  $\varphi$  for a tree  $T$ , we approximate  $T$  by  $T_s$ , where

$$\sigma \in T_s \Leftrightarrow \varphi_s(\sigma) \uparrow \text{ or } \downarrow = 1.$$

The p-time tree  $P$  is defined by

$$\sigma \in P \Leftrightarrow (\forall \tau \subset \sigma)[\tau \in T_{|\sigma|}].$$

## Lattice Structure

The collection of all  $\Pi_1^0$  classes ordered by inclusion forms a distributive lattice, denoted  $\mathcal{E}_\Pi$ .

Top and bottom:  $2^\omega$  and  $\emptyset$

Meet and join:  $\cap$  and  $\cup$

Atoms (minimal elements): singletons (computable paths)

Complemented elements: clopen sets (finite unions of intervals)

Intervals in the lattice:  $[P, P'] = \{Q \in \mathcal{E}_\Pi : P \subseteq Q \subseteq P'\}$

Once we have a lattice, we can look at intervals of and embeddings into the lattice, as well as definability. There are several computably isomorphic (though order-reversing) settings we can work in to obtain these results.

- $\mathcal{E}_\Pi$  itself;
- the lattice of c.e. ideals/filters of the countable atomless Boolean algebra  $Q$ ;
- the lattice of c.e. ideals/filters of  $2^{<\omega}$ ;

[Isomorphisms laid out in CCDH and W]

The isomorphic setting we will use is the c.e. ideals of  $2^{<\omega}$ :

A string  $\sigma \in 2^{<\omega}$  is a *nonextendible node* of the  $\Pi_1^0$  class  $P$  if  $[\sigma] \cap P = \emptyset$ .

If  $[T] = P$  for a computable tree  $T$ ,  $\sigma \notin T$  is nonextendible, and  $\sigma \in T$  such that all extensions of  $\sigma$  dead-end is also nonextendible.

The nonextendible nodes of  $P$  for any  $\Pi_1^0$   $P$  form a c.e. ideal of  $2^{<\omega}$ ; can see the isomorphism is order-reversing.

## Intervals

There are exactly two isomorphism types for nontrivial end segments of  $\mathcal{E}_\Pi$ .

– Cholak, Coles, Downey, Herrmann (CCDH):

If  $P \subsetneq 2^\omega$  is a clopen  $\Pi_1^0$  class, then  $[P, 2^\omega] \cong \mathcal{E}_\Pi$  computably.

If  $P, Q \in \mathcal{E}_\Pi$  are nonclopen, then  $[P, 2^\omega] \cong [Q, 2^\omega]$  computably.

– Cenzer and Nies (CN2):

If  $P \in \mathcal{E}_\Pi$  is nonclopen, then  $[P, 2^\omega] \not\cong \mathcal{E}_\Pi$ .

The computable isomorphisms are easiest to see in the setting of c.e. ideals, where we are looking at an *initial* segment (interval of all ideals contained in the given ideal).

The *root set* of an ideal  $\mathcal{I}$  is the minimal generating set:  $\{\sigma_i\}_{i \in I}$  such that  $\mathcal{I} = \{\tau : (\exists i \in I)(\tau \supseteq \sigma_i)\}$  and  $i \neq j \Rightarrow \sigma_i \perp \sigma_j$ .

A clopen  $\Pi_1^0$  class corresponds to an ideal with a finite root set of size  $k + 1$ , say; we may map the  $i^{\text{th}}$  element to  $1^i 0$ ,  $0 \leq i < k$ , with the final element mapping to  $1^k$ . (If the root set has size 1 map it to the empty node.) Fill in  $2^{<\omega}$  in the natural way; this generates an isomorphism on ideals.

A *basis* of an ideal is a set  $B$  that generates the ideal such that any two elements of  $B$  are incomparable.

For the nonclopen isomorphism we need a lemma:

Any c.e. ideal has a c.e. basis.

Given a nonclopen  $\Pi_1^0$  class, let  $\{\sigma_i\}_{i \in \omega}$  be a c.e. basis for the associated c.e. ideal. We'll map it to one standard nonclopen ideal: the one with root set  $\{1^j 0 : j \in \omega\}$ . Map the basis to the root set (in order of enumeration) and fill in  $2^{<\omega}$  in the natural way; the map generated is an isomorphism between the two initial segments of ideals.



The nonisomorphism between end segments starting with clopen or nonclopen  $\Pi_1^0$  classes is harder to prove.

(CN2) is a contradiction argument. Nies later found a  $\Sigma_3^0$ -definable difference in the setting of c.e. ideals of the countable atomless Boolean algebra  $Q$ .

For two ideals  $A, E \in I(Q)$ ,  $A$  is *small in*  $E$  ( $A \subset_s E$ ) if  $A \subset E$ ,  $E$  is noncomplemented in  $I(Q)$ ,  $A$  is noncomplemented in  $[0, E]$ , and if  $Y \subseteq A$  is complemented in  $[0, E]$ , then  $Y$  is also complemented in  $I(Q)$ .

Let  $\beta$  be the statement  $\exists E \exists A (A \subset_s E)$ .  $I(Q) \models \beta$  but for nonprincipal (corresponding to nonclopen  $\Pi_1^0$  class) ideal  $M$ ,  $[0, M] \not\models \beta$ .

## Thin classes

A  $\Pi_1^0$  class  $P$  is *thin* if every  $\Pi_1^0$  subclass of  $P$  is relatively clopen; that is, for each  $Q \subseteq P$  there is clopen  $C \subseteq 2^\omega$  such that  $Q = P \cap C$ .

The thin  $\Pi_1^0$  classes are exactly those  $P$  such that  $[\emptyset, P]$  is a Boolean algebra (i.e. distributive, complemented lattice) - hence thinness (including finite) is definable in  $\mathcal{E}_\Pi$ .

The index set of thin classes is  $\Pi_4^0$ -complete.

## Perfect thin classes

A  $\Pi_1^0$  class is *perfect* if it has no isolated paths. In other words, every extendible node of its representative tree has at least two incomparable extensions.

Perfect thin classes  $P$  are exactly those such that  $[0, P]$  is an atomless Boolean algebra, because a computable path must be isolated in a thin class; hence they are definable in  $\mathcal{E}_\Pi$ .

CCDH show that perfect thin classes witness degree invariance of the array noncomputable degrees. That is, each anc degree is represented by a perfect thin class and all perfect thin classes have anc degree; definability implies invariance under automorphisms. In fact they form an orbit.

## Minimal classes

A  $\Pi_1^0$  class  $P$  is *minimal* if every  $\Pi_1^0$  subclass of  $P$  is finite or cofinite in  $P$ .

The minimal  $\Pi_1^0$  classes are the atoms when working with  $\mathcal{E}_\Pi^*$  ( $:= \mathcal{E}_\Pi$  modulo finite difference).

The minimal classes with noncomputable paths are exactly the thin classes with exactly one non-isolated point.

The index set of minimal classes is  $\Pi_4^0$ -complete.

Comparisons between  $\mathcal{E}_\Pi$  and  $\mathcal{E}$ , the lattice of c.e. sets, are often fruitful lines of research.

Nies proved that if an interval of  $\mathcal{E}$  is not a Boolean algebra, then it has an undecidable theory (in fact its theory interprets true arithmetic).

Cenzer and Nies (CN1) proved that there are intervals of  $\mathcal{E}_\Pi$  that are not Boolean algebras but have decidable theories.

The proof is via  $\mathcal{E}_\Pi^*$ .

Given a lattice  $(L, \leq)$  we denote join (l.u.b.) by  $\vee$  and meet (g.l.b.) by  $\wedge$ ; the greatest and least elements are 1 and 0.

$(L, \leq)$  satisfies the *dual reduction property* if for any  $a, b \in L$ , there exist  $a_1 \geq a$  and  $b_1 \geq b$  such that  $a_1 \vee b_1 = 1$  and  $a_1 \wedge b_1 = a \wedge b$ .

(CN1) step 1: For any finite distributive lattice  $L$  that satisfies the dual reduction property, there is a  $\Pi_1^0$  class  $P$  such that  $[\emptyset, P]^* \cong L$ .

Small pieces of proof:

For one-element  $L$ , any finite  $P$  will do.

For two-element  $L$ ,  $P$  must be minimal, so  $[\emptyset, P]^*$  will have two elements.

For larger  $L$ , the construction of a minimal  $\Pi_1^0$  class is generalized: make  $P$  with subclasses that are “minimal over” each other (aligned with the structure of  $L$ ).

(CN1) step 2: For the  $P$  constructed,  $[\emptyset, P]$  is isomorphic to a sublattice of the  $\mathcal{P}(\mathbb{N})$  that is closed under finite differences.

Lachlan: If a lattice  $L \subset \mathcal{P}(\mathbb{N})$  is closed under finite differences, then the theory of  $L$  is many-one reducible to the theory of  $L^*$ .

(CN1) step 3: The theory of  $[\emptyset, P]$  is many-one reducible to the theory of  $L$  (the original finite lattice) and is hence decidable.



So in  $\mathcal{E}$ , “not a Boolean algebra”  $\Rightarrow$  “interprets true arithmetic”.

In  $\mathcal{E}_\Pi$ , “not a Boolean algebra” doesn’t even imply “undecidable”.

However, if  $P \in \mathcal{E}_\Pi$  is *decidable* and  $[\emptyset, P]$  is not a Boolean algebra, then the theory of  $[\emptyset, P]$  interprets true arithmetic.

*Decidability* for a  $\Pi_1^0$  class  $P$  means the tree  $T$  with no dead ends such that  $[T] = P$  is computable.

## Some topics we didn't cover

- $\Pi_1^0$  classes in  $\omega^\omega$ ,  $\mathbb{R}$ , or  $[0, 1]$
- The Cantor-Bendixson derivative and rank
- Reverse mathematics and Ramsey theory
- The structure of the lattice  $[P, 2^\omega]$  for  $P$  nonclopen
- More examples and applications: graph theory and combinatorics, orderings, nonmonotonic logic

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